

10/032,930

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Heikyung Min et al.

Appn. No.: 10/032,930

Filed: October 29, 2001

For: SEMICONDUCTOR STRUCTURE FOR
REDUCING SURFACE ZENER DRIFT USING
TITANIUM-BASED METALLURGY
(as amended)

Group Art Unit: 2818

Examiner: Le, Dung Anh

REQUEST FOR REFUND

Mail Stop 16
Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

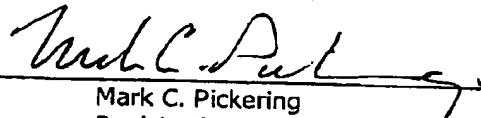
Dear Sir:

The monthly statement of Deposit Account dated November 2004 (copy enclosed) indicates that \$770 was debited from Deposit Account 502305 on November 3, 2004 for Fee Code 1801 for a Request for Continued Examination (RCE). The Request for Continued Examination (RCE) was filed on November 2, 2004 via hand-delivery to the Petitions Office with a Petition to Withdraw Application from Issuance, and received by the U.S.P.T.O. on the same day (copy of stamped return receipt postcard enclosed). A check in the amount of \$920.00 (\$130 for the Petition fee and \$790 for the RCE fee) was submitted with the Petition and Request for Continued Examination at the time of filing (copies of the fee transmittal and both sides of check are enclosed). Accordingly, applicant's attorney respectfully requests a refund in the amount of \$770 to be credited to our Deposit Account No. 502305.

Respectfully submitted,

Dated: 1-5-05

By:



Mark C. Pickering
Registration No. 36,239
Attorney for Assignee

30 Fifth Street, Suite 200
P.O. Box 300
Petaluma, CA 94953-0300
Direct Dial Telephone No. (707) 762-5583
Telephone: (707) 762-5500
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Customer No. 33402

Request for Refund

-1-

Atty. Dkt. No. 100-16802
(P05108-C2)

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Deposit Account Statement

Page 1 of 1



**United States
Patent and
Trademark Office**

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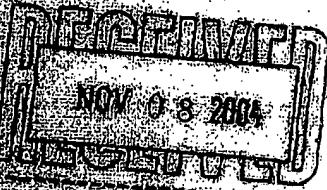
Deposit Account Statement

Requested Statement Month: November 2004
Deposit Account Number: 502305
Name: LAW OFFICES OF MARK C PICKERING
Attention: MARK C PICKERING
Address: P O BOX 300
City: PETALUMA
State: CA
Zip: 94953

DATE SEQ	POSTING REF	ATTORNEY TXT	DOCKET NBR	FEE CODE	AMT	BAL
11/03 1	10032930	072219-02782	1801		\$770.00	\$1,634.00
11/03 8	60623423	200-68100	1005		\$160.00	\$1,474.00
11/12 138	10726907	200-65700EPC	8007		\$20.00	\$1,454.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$2,404.00	\$950.00	\$0.00	\$1,454.00

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COPY

Patent Appn. No. **10/032,930**
 In the Matter of the Application of: **Heikyung Min et al.**
 Title: **Semiconductor Structure for Reducing...**
 Date-Mailed: **Via Hand-Delivery**

File No. **10P-16802** By: **MCP**

Due Date:

RECEIVED

The following has been received in the U.S. Patent and Trademark Office on the date stamped herein:

- Transmittal Letter - **RCE**
- Patent Application _____
- _____ pgs. specification including (Claims and Abstract)
- Drawings _____ sheets _____ formal _____ informal
- Oath/Declaration
- Assignment w/ Form PTO-1595
- Power of Attorney
- Small Entity Statement
- Deposit Account Authorization (in duplicate)
- Information Disclosure Statement, PTO-1449 w/ **7** refs.
- Check \$ **920.00**
- Amendment/Response
- Request for Extension of Time (_____ months) (patent)
- Terminal Disclaimer
- Notice of Appeal
- Appeal Brief (in triplicate)
- Maintenance Fee Transmittal
- Non Publishing Request

- Request For: **NOV 02 2004**
- Status Inquiry
- Certificate of Mailing
- Express Mail Label
- Certificate under 3.73(b)
- Certificate of Correction (PTO Form 1050)
- Issue Fee Transmittal
- Fee Transmittal (in duplicate)
- ~~Request for Withdrawal~~
- ~~Appeal from ISSUE~~
- ~~Under 3.7 CFR~~
- ~~613(c)(3) to Consider a Reference~~

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FEE TRANSMITTAL**For FY 2005***Patent Fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT

\$920

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 502305
LAW OFFICES OF MARK C. PICKERING

Applicant claims small entity status. See 37 CFR 1.27.

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

LARGE ENTITY SMALL ENTITY

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility	
1002	350	2002	175	Design	
1003	550	2003	275	Plant	
1004	790	2004	395	Reissue	
1005	160	2005	80	Provisional	
SUBTOTAL (1)				0	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	* - 20 **	= 0	Extra Claims	Fee from below	Fee Paid
Independent	* - 3	= 0		x 18	= \$0
Multiple Dep.	*	*		x 88	= \$0
*** or number previously paid. If greater; for Reissues, see below:					

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
1202	18	2202 9 Claim in excess of 20
1201	88	2201 44 Independent claims in excess of 3
1203	300	2203 150 Multiple dependent claim, if not paid
1204	88	2204 44 ** Reissue ind. claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0

<i>Complete if Known</i>					
Application Number		10/032,930			
Filing Date		October 29, 2001			
First Named Inventor		Heikyung Min et al.			
Examiner Name		Le, Dung Anh			
Group Art Unit		2818			
Attorney Document No.		100-16802 (P05108-C2)			
FEE CALCULATION (continued)					
3. Additional Fees					
Large Entity		Small Entity			
Fee Code	Fee				
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1840	1805	1840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1530	2254	765	Extension for reply within fourth month	
1255	2080	2255	1040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive-unavoidable	
1453	1370	2453	685	Petition to revive-unintentional	
1501	1370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)		\$920	

SUBMITTED BY

Law Offices of Mark C. Pickering
 P.O. Box 300
 Petaluma, CA 94953-0300
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 Facsimile: (707) 762-5504
 Customer No. 33402

Date: 11-1-04By: Mark C. Pickering

Mark C. Pickering, Reg. No. 36,239

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LAW OFFICES OF MARK C. PICKERING

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Alexandria, VA 22313-2345

MEMO: NO FEE FOR REGISTRATION

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11-4288120

11/1/2004

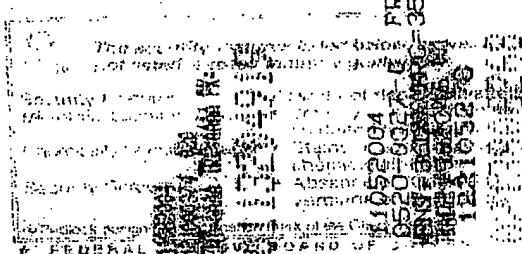
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11-03-2004
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P.O. Box 300
Petaluma, CA 94953-0300
Main Telephone: 707/762-5500
Facsimile: 707/762-5504

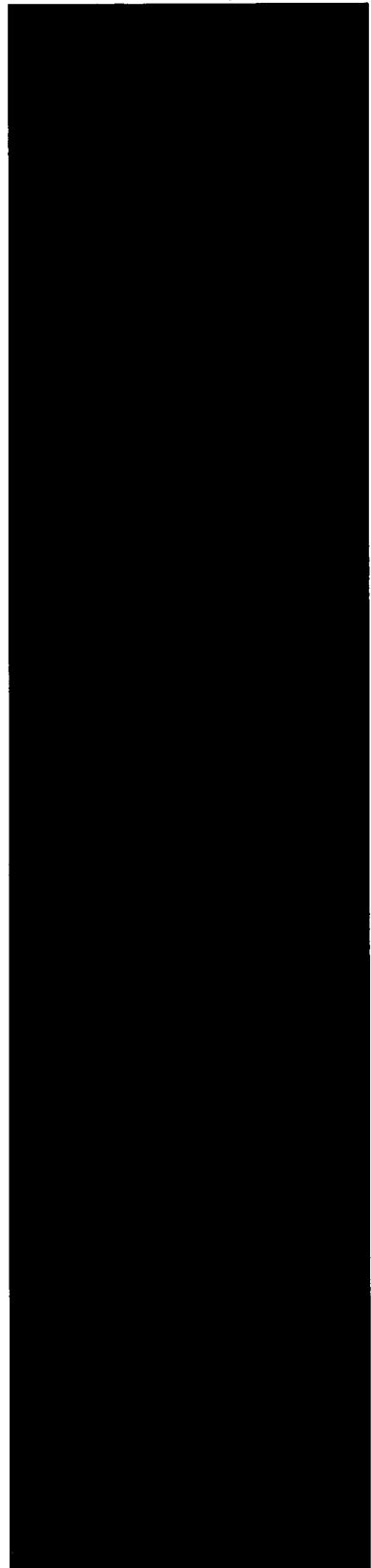
FACSIMILE TRANSMITTAL

To: Refunds Branch Fax: 703-308-5077
USPTO

From: Mark C. Pickering Date: January 5, 2005

Re: Request for Refund for Pages: 6 (including cover sheet)
10/032,930

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): Heikyung Min et al.

Appln. No.: 10/ 032,930

Group Art Unit 2818
Examiner: Le, Dung Anh
Atty. Dkt. 100-16802
No.

P05108-C2

Series Code ↑ Serial No. ↑

Filed: October 29, 2001

Title: Semiconductor Structure For Reducing Surface Zener
Drift Using Titanium-Based Metallurgy (as amended)

Client Ref

R C E

**DO NOT USE FOR PROVISIONAL, DIVISIONAL, CIP
OR DESIGN APPLICATIONS, OR REEXAMINATION
OF PATENTS**Mail Stop §313(c)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: November 1, 2004

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NOV 02 2004

OFFICE OF PETITIONS

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

Please continue the examination of this application.

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.

An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).

Prosecution has been closed as defined in Rule 114(b).Reply to any outstanding action and Rule 17(e) filing fee must be enclosed

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please enter do not enter the Amendment filed
2. The enclosed Amendment.
3. Consider the arguments in the appeal brief filed _____ and reply brief filed _____
4. The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. The enclosed Information Disclosure Statement, Form PTO-1449 and 7 references.
6. The Enclosed Non-Publish Request.
7. Please suspend action under Rule 103(c) for a period of _____ months (3 mos. Max) for which the required \$130 fee is enclosed
8. A check in the amount of \$920 is enclosed of which \$790 is the required RCE filing fee and \$130.00 for the Petition Fee. (Postcard, fee transmittal (with copy) are also attached.)

(Our Deposit Account No. 502305)

(Atty. Dkt No.) 100-16802

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.
 This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Correspondence Address Below Law Offices of Mark C. Pickering

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Petaluma, CA 94953-0300
(707) 762-5500
Customer No. 33402By Atty: Mark C. Pickering Reg. No. 36,239
Sig: M.C. Pickering Date: 11-1-04
Fax: (707) 762-5504
Tel: (707) 762-5583

3/2004 AKELLEY 0000003 10032930

C:1801 790.00 OP
Adjustment Date: 02/11/2005 SDIRETAK
11/03/2004 SDAVIS 00000001 502305 10032930
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